

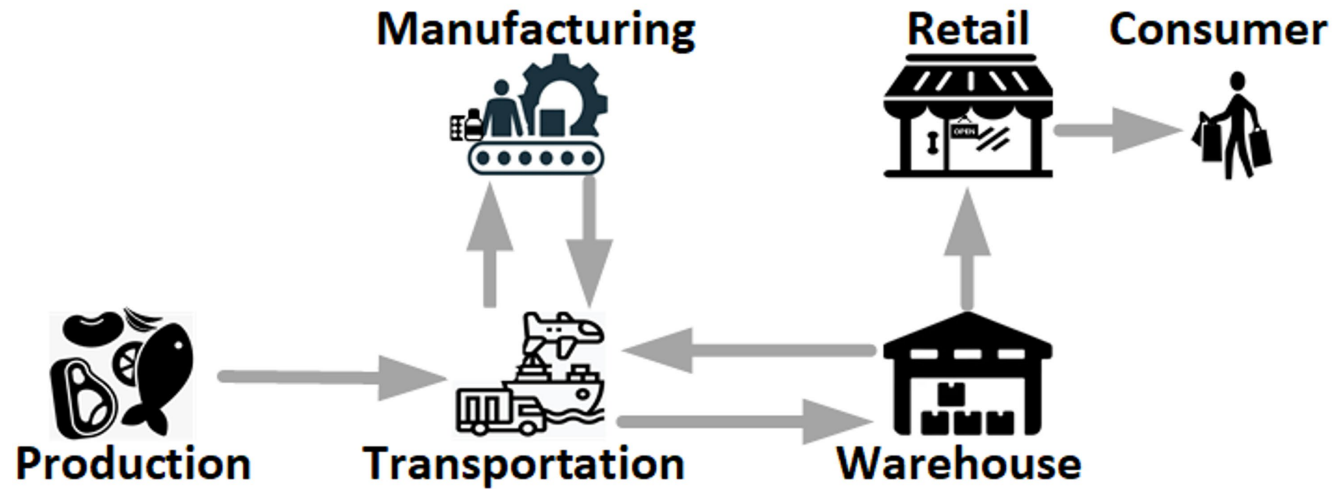
## Automatic Fault Detection and Diagnosis in Refrigeration Systems -A Data-driven Approach-

- BITZER Electronics A/S ,collaboration with Aalborg university
- July, 04 - 2024



DAS HERZ DER FRISCHE

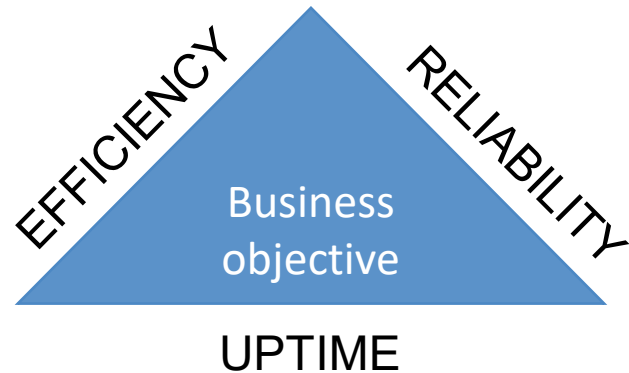
# Refrigeration systems in cold chain



Refrigeration systems affect on:

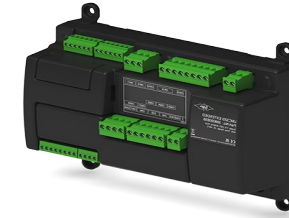
- Medicine and food
- Human health
- Economy
- Global warming

# Bitzer, Green manufacturer



## Important factors:

- Accuracy
- False positive rate
- Computation time
- Required amount of data and sample time
- Required variables (features)
- Ability to lower cost of human resources
- Robustness of the tool for distributed systems



HVAC & R controllers



user panels  
and  
smart phone app

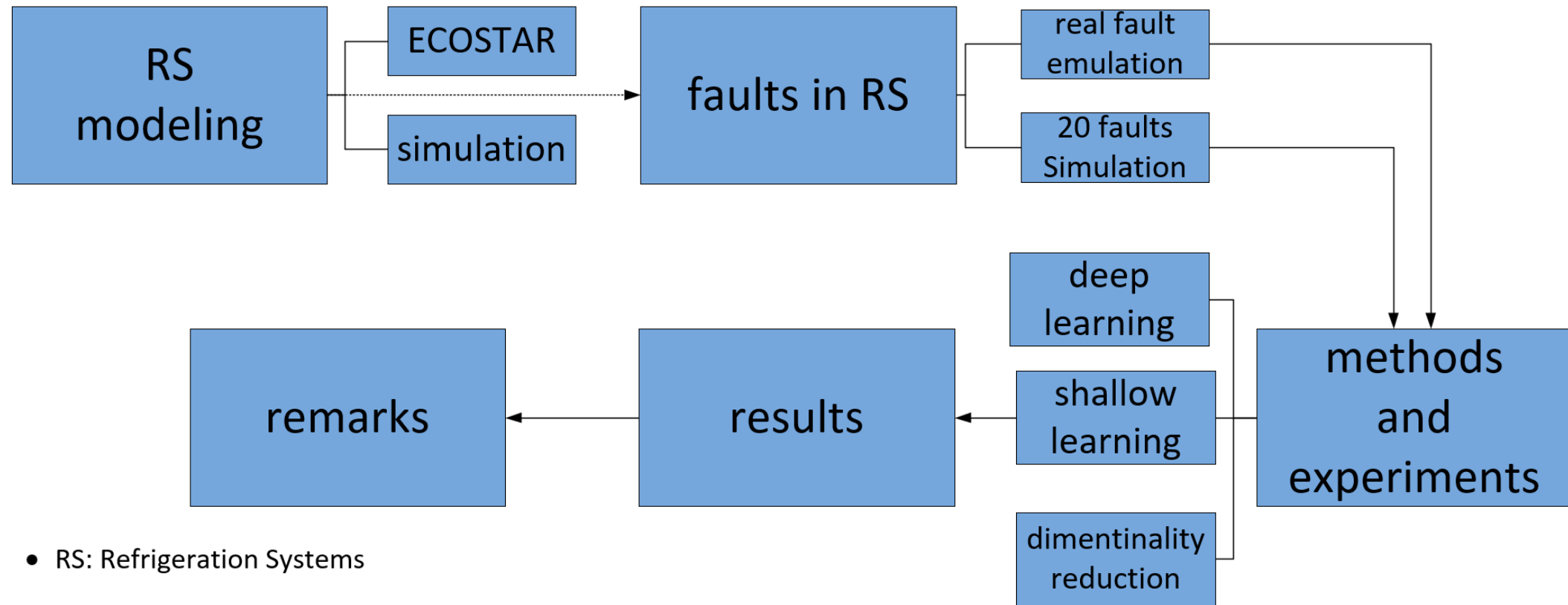


IQ  
modules



and more products

# Discussion points:



# ECOSTAR Unit



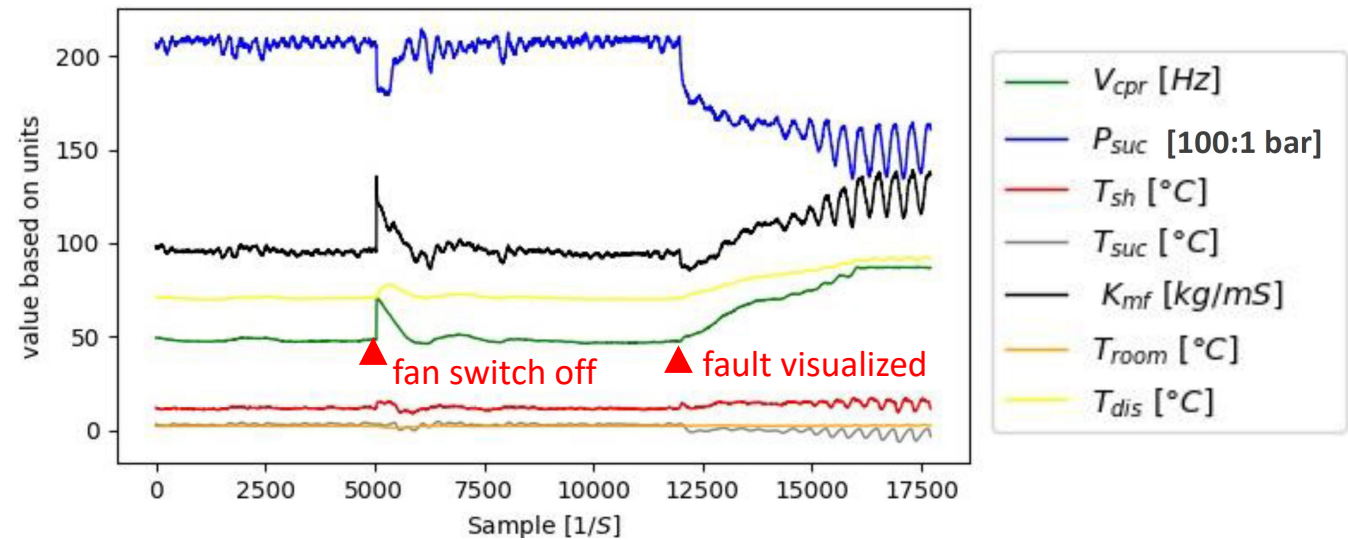
Ecostar is a condensing unit for supermarket refrigeration systems

# Evaporator fan fault

Switch off for one of the evaporator fans



Ice accumulation on evaporator when one of the fan was off  
defrost mode : off

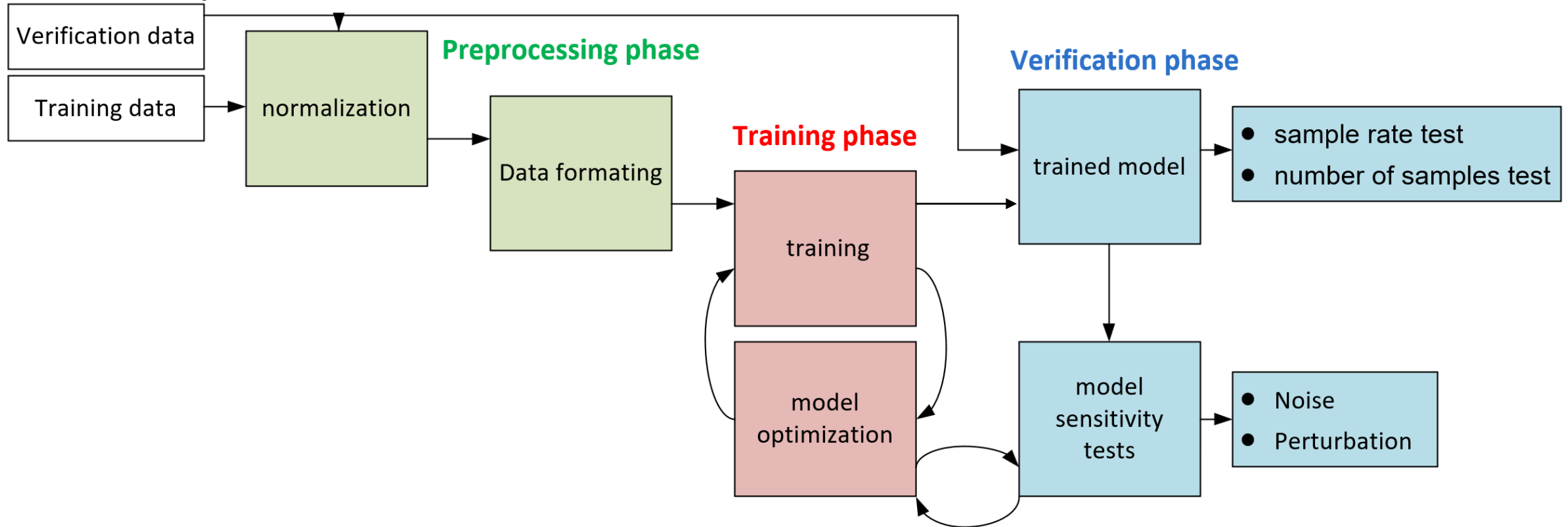


An example of datalog for fan fault detection. The fault happened in sample 5000 and it is obviously affected the data later.

# CNN for Fan fault detection

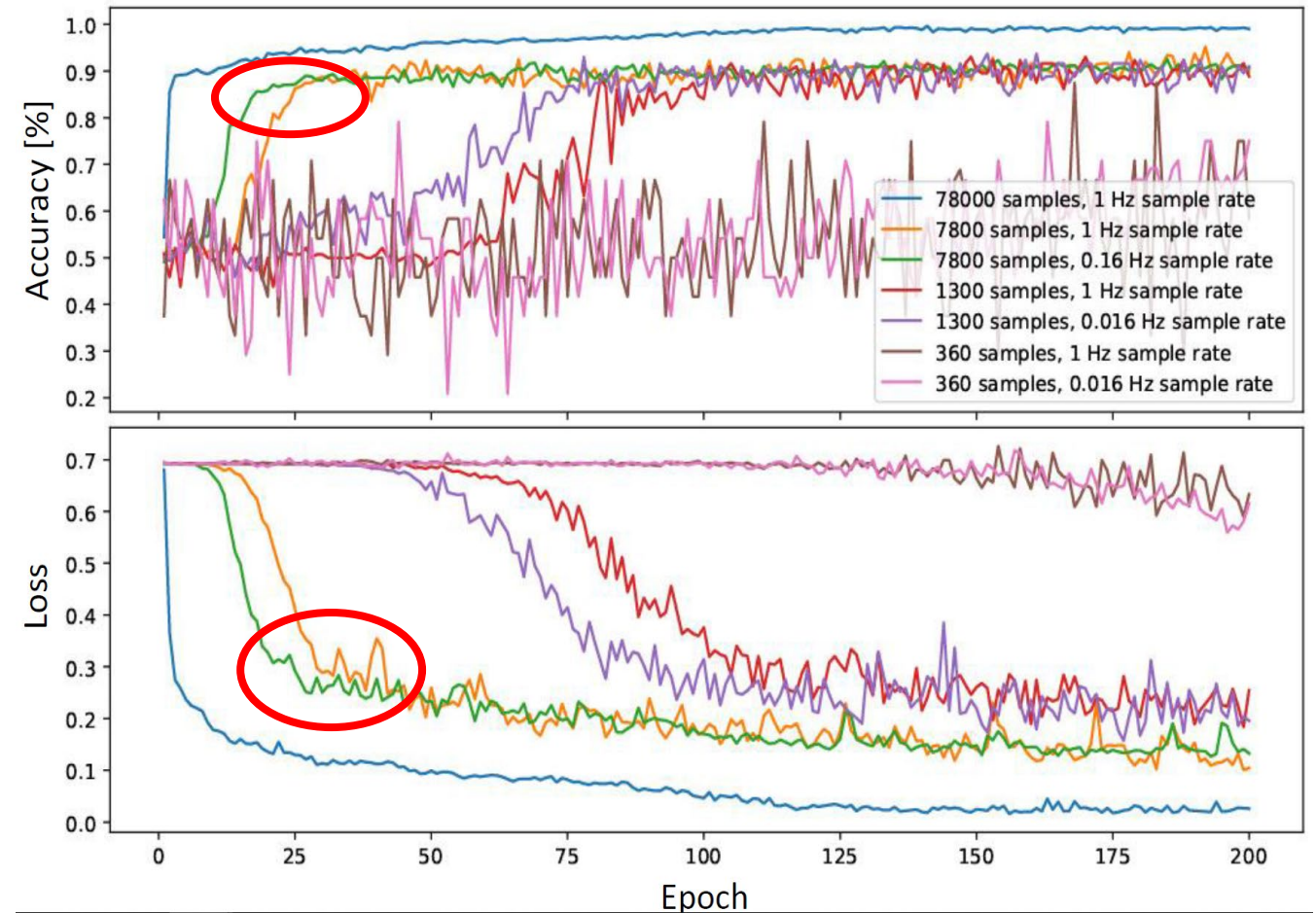
## Overview:

### Data collection phase



# Lower resolution, faster convergence

- ✓ faster convergence
- ✓ same accuracy until 0.016 Hz



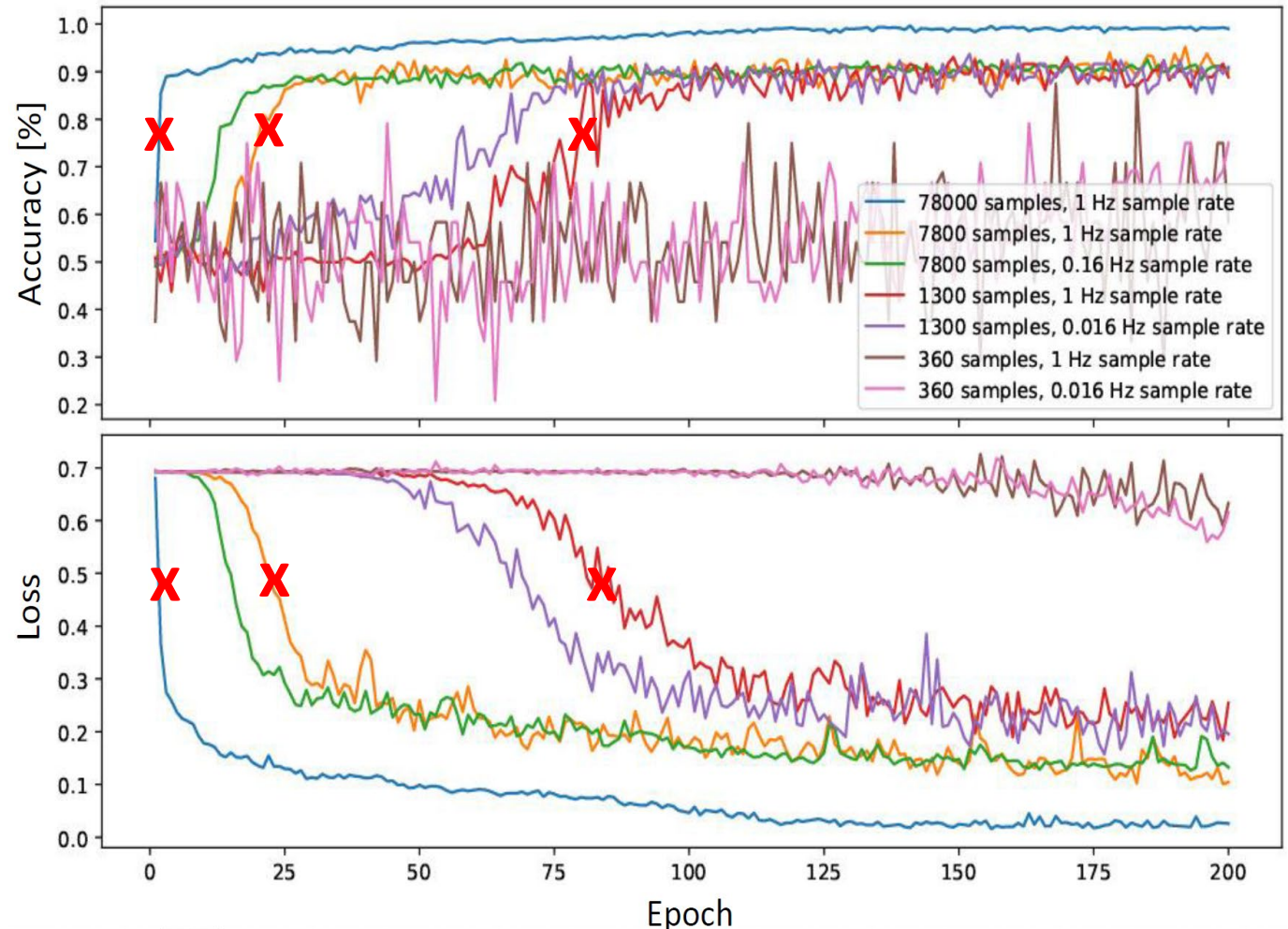
Evaluation of CNN training using data with different resolutions



# Less number of samples, slower convergence

Less number of samples:

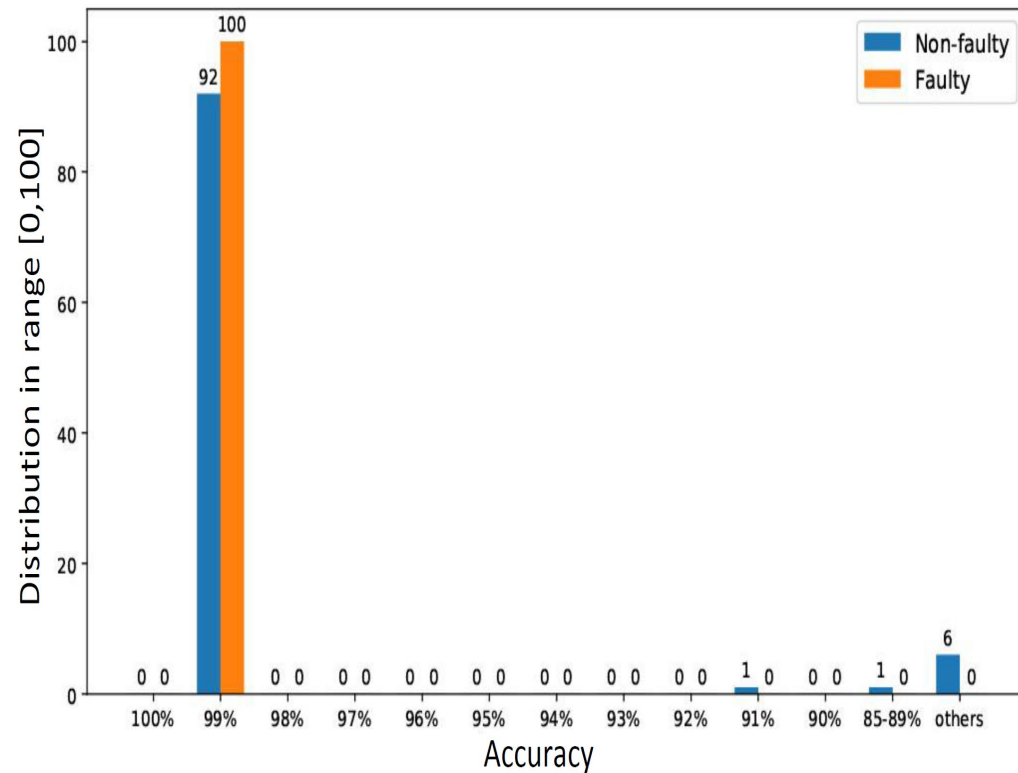
- ✓ lower accuracy
- ✓ Slower convergence



1. Evaluation of CNN training using data with different resolutions

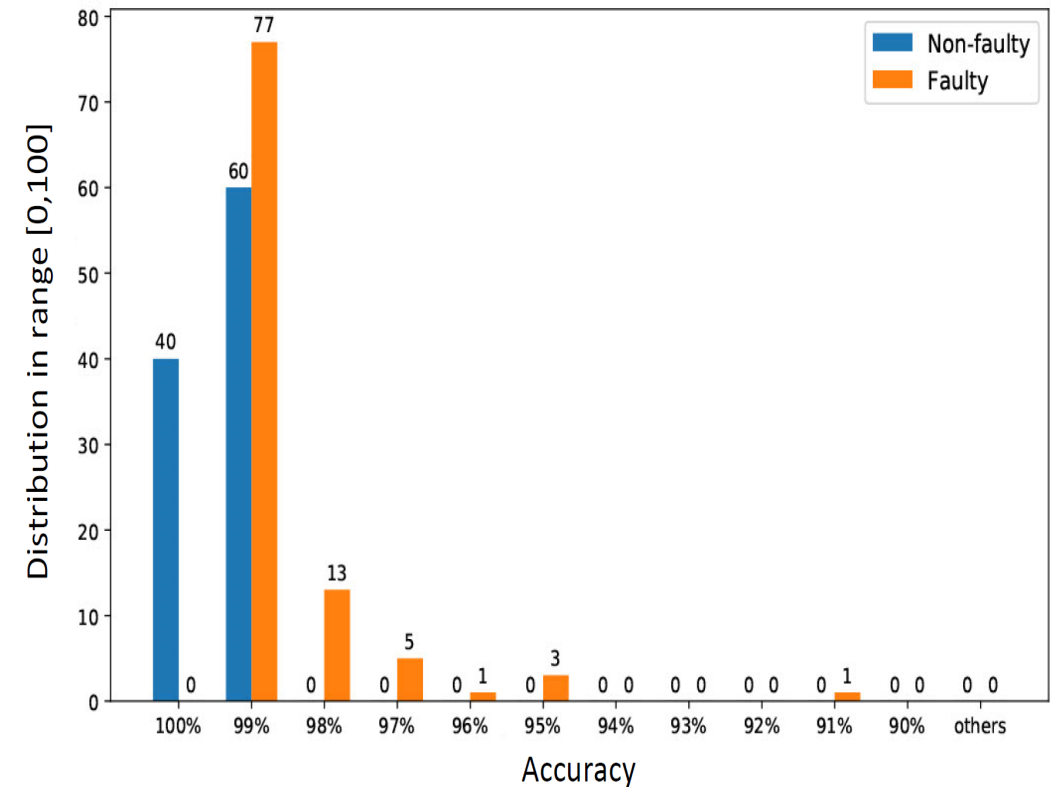
# Effect of perturbation & noise – CNN

- **Perturbation test:**
- 1% false positive rate, reliable for 92% of the time
- 99% classification accuracy for detecting faulty condition



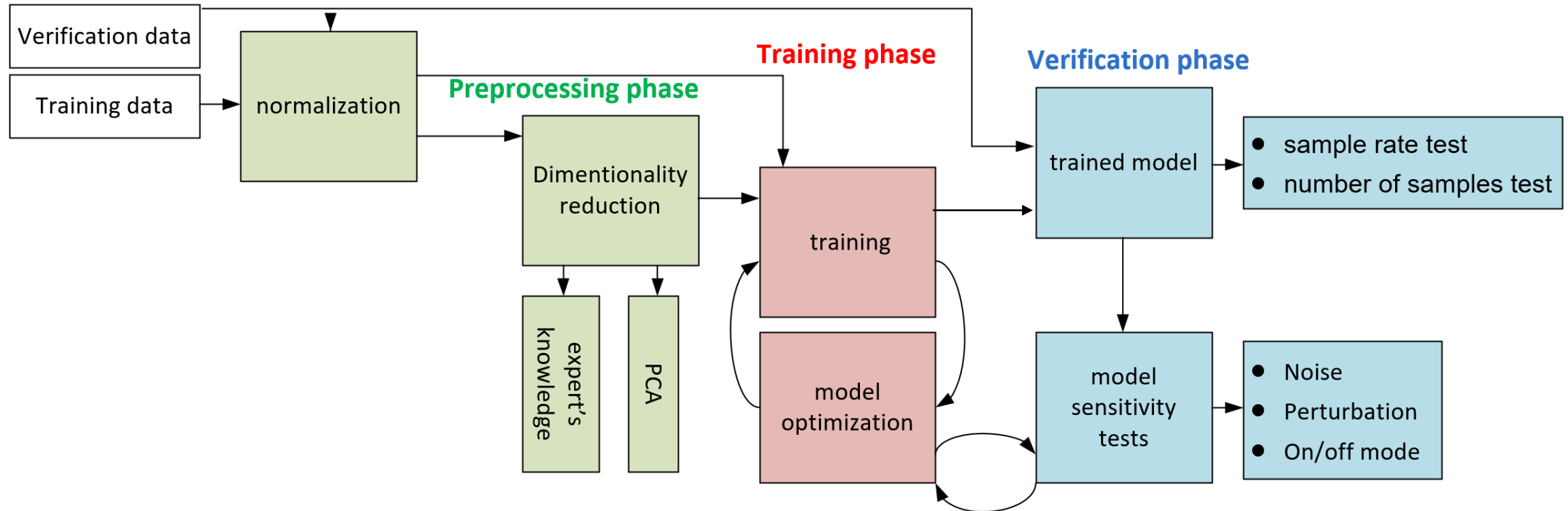
## Noise test:

- < 1% false positive for all runs
- >95% fault classification accuracy for 99 runs out of 100



# SVM for binary classification: Overview

## Data collection phase



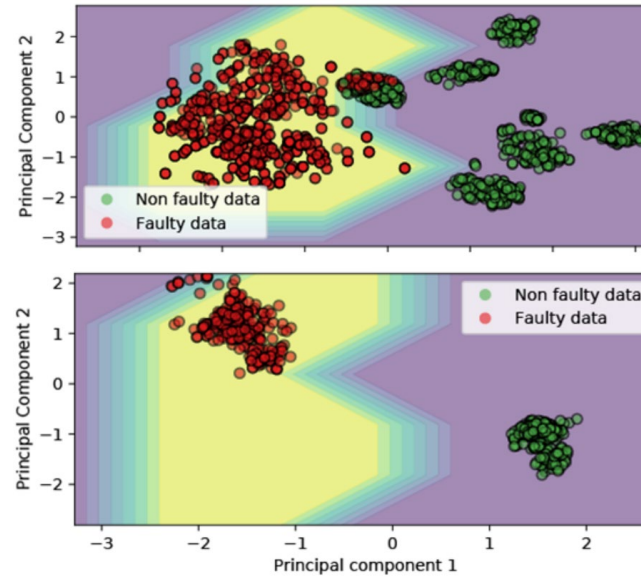
# SVM sensitivity against data resolution and size

- Importance of data length selection for SVM training
- Result of the SVM training is independent to the sample rate, if data represents thermodynamical behavior of the systems

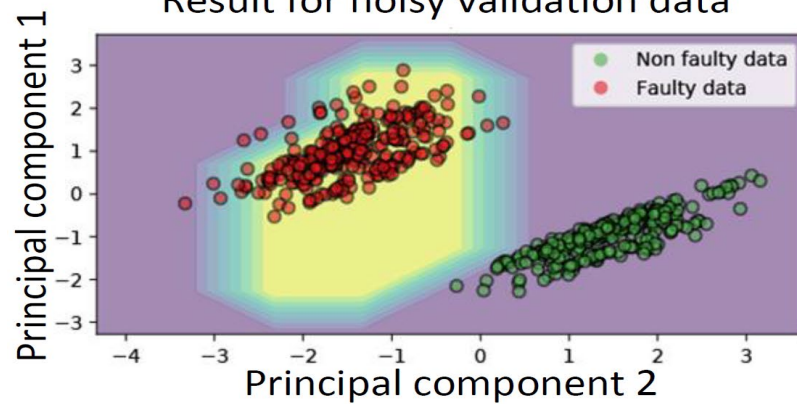
length	sample rate [ $Hz$ ]	training time (s)	accuracy [%]
300	1	0.07	94
	0.1	0.08	94
	0.01	0.07	94
900	1	0.09	99
	0.1	0.09	99
	0.01	0.1	99
1800	1	0.57	93
	0.1	0.65	93
	0.01	0.63	93

# PCA-SVM sensitivity tests

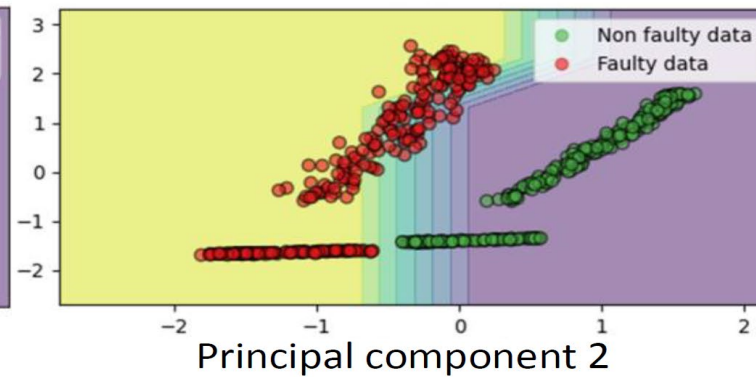
Training and test result for PCA-SVM



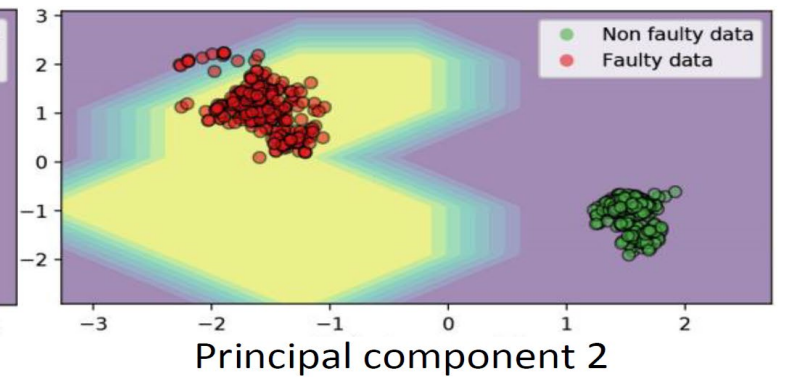
Result for noisy validation data



Result for validation data in On/OFF mode



Result for perturbed validation data



# PCA-SVM better than the others

- **4D SVM** and **2D PCA-SVM** obtained very similar results
- PCA-SVM performs better in fault detection in On/Off experiment
- PCA-SVM is more robust and efficient as it automatically select the dimensions

	Algorithm	Non faulty[%]	Faulty[%]
Noisy	14D SVM	98.5 -99.6	98 -99.4
	4D SVM	98 -100	98 -99.4
	PCA-SVM	98 -100	98 -99.6
Perturbed	14D SVM	89-100	97-100
	4D SVM	{ 99.2-100	{ 99-100
	PCA-SVM	{ 100	{ 100
On/Off	14D SVM	50-60	53-60.5
	4D SVM	55-60	54-61
	PCA-SVM	{ 85-86	{ 95.5-96.4

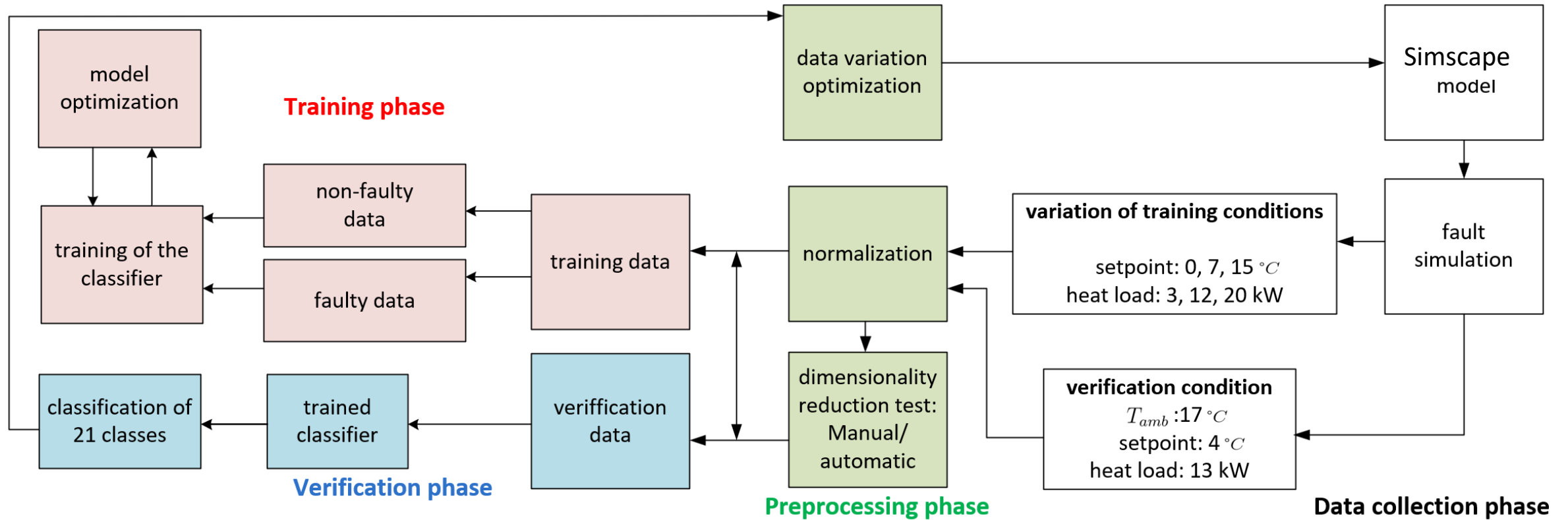
PCA-SVM obtained the best result for the experiments above

# Faults description

- Temperature offset 2
- Psuc offset 0.2 bar  $^{\circ}C$
- Pdis offset 1 bar

Label	Fault
1	$T_{suc}$ sensors positive offset
2	$T_{sup}$ sensors positive offset
3	$T_{ret}$ sensors positive offset
4	$T_{dis}$ sensors positive offset
5	$P_{dis}$ sensor positive offset
6	$P_{suc}$ sensor positive offset
7	Compressor poor performance
8	Losse expansion valve
9	Evaporator fan poor performance
10	Condenser fan poor performance
11	$T_{suc}$ sensors negative offset
12	$T_{sup}$ sensors negative offset
13	$T_{ret}$ sensors negative offset
14	$T_{dis}$ sensors negative offset
15	$P_{dis}$ sensor negative offset
16	$P_{suc}$ sensor negative offset
17	Broken compressor
18	Blocked expansion valve
19	Broken evaporator fan
20	Blocked condenser fan

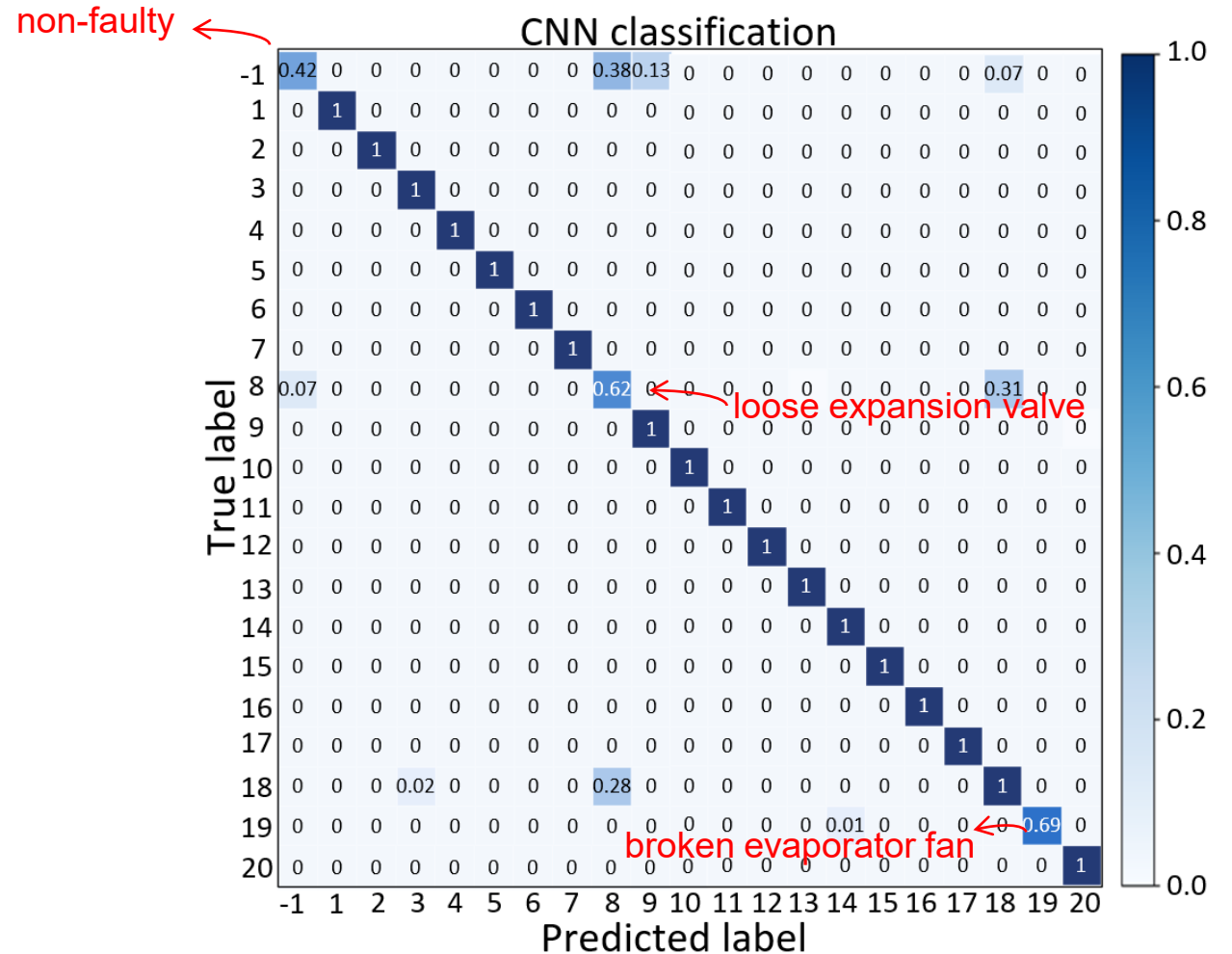
# Multi-class classification Overview





# CNN for multi-class classification

- Can classify most of the classes
- Total accuracy: 94%
- 58% false positive



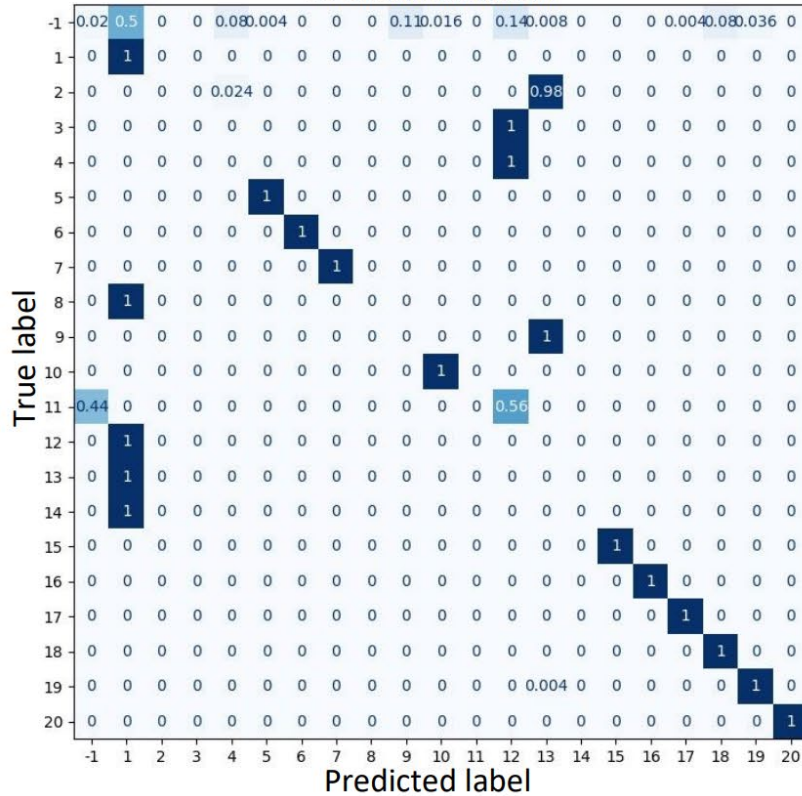
# Models comparison: training/test results

model	accuracy	false positive	training time	prediction time
SVM	<u>99.6%</u>	<u>0%</u>	<u>1.1 s</u>	1 s
LDA	<u>99.8%</u>	<u>0%</u>	3.2 s	<u>0.3 s</u>
CNN	94%	68%	112.5 s	<u>0.1 s</u>
PCA-SVM	55.4%	24%	7.2 s	5.6 s
LDA-SVM	<u>96.6%</u>	18%	<u>1 s</u>	1.1 s

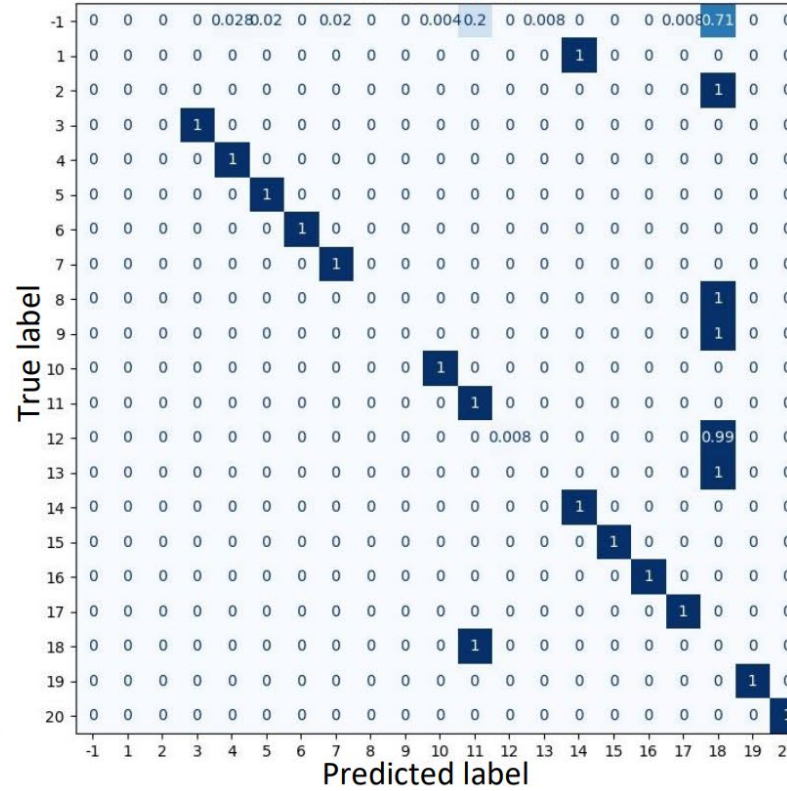
- LDA, SVM, LDA-SVM obtained the most accuracy, respectively
- False positive in LDA and SVM are perfect (training/test phase)
- Prediction time of LDA is comparatively lower than the others
- Training time is too slow in CNN and false positive is too high
- Total accuracy for PCA-SVM is too low

# No satisfactory results

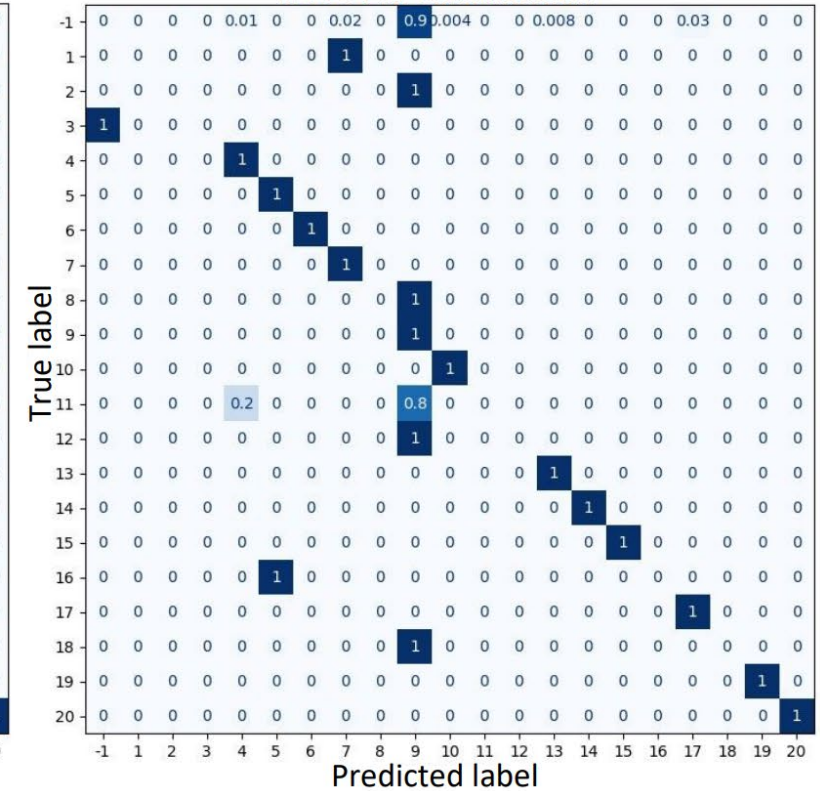
SVM classification



LDA classification



LDA-SVM classification

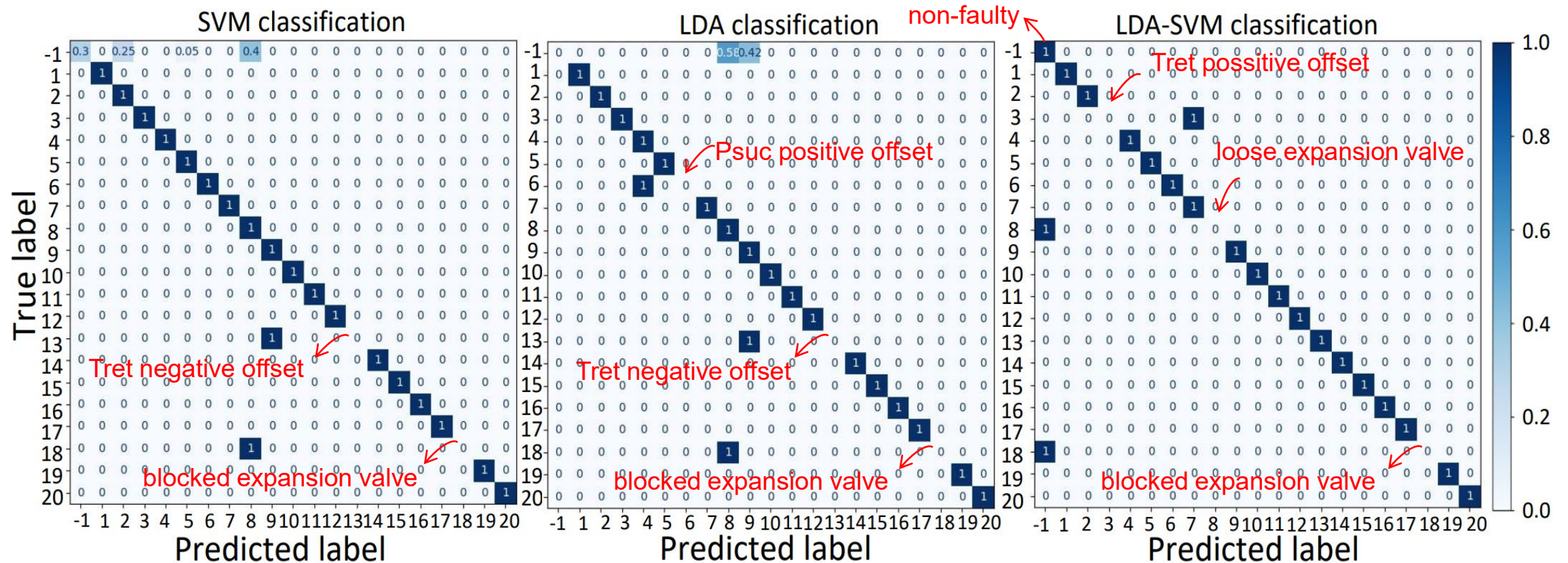


Verification data in different operation conditions than training

- Non-faulty data is **not** identified
- Faulty data are **not** classified satisfactorily

# models with more data variation

Adding variation of ambient temperature and setpoint to the data features



- density, and power consumption of the compressor are removed.

# LDA-SVM for fault detection

model	accuracy	false positive	prediction time
SVM	87%	70%	0.4 s
LDA	81%	100%	<u>0.3 s</u>
LDA-SVM	86%	<u>0%</u>	1.5 s

# Research remarks



- PCA Vs LDA (binary classification or multi-class classification)?
- Data resolution is not important when using SVM until it preserve dynamic of the systems
- Careful selection of data size when using SVM.
- Best model selection: a trade-off among a high accuracy, low computation, and low false positive

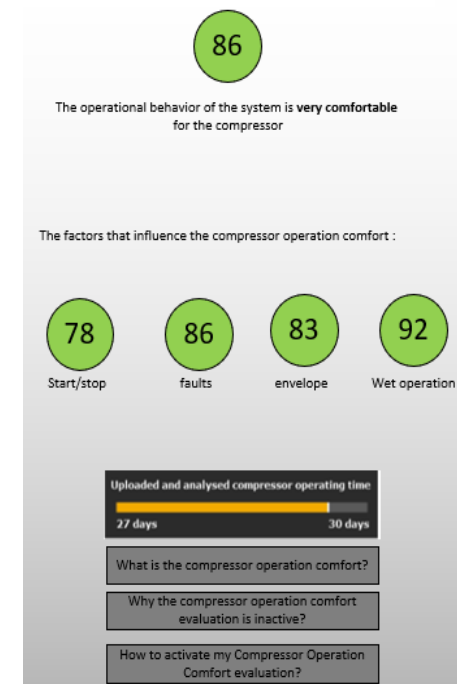
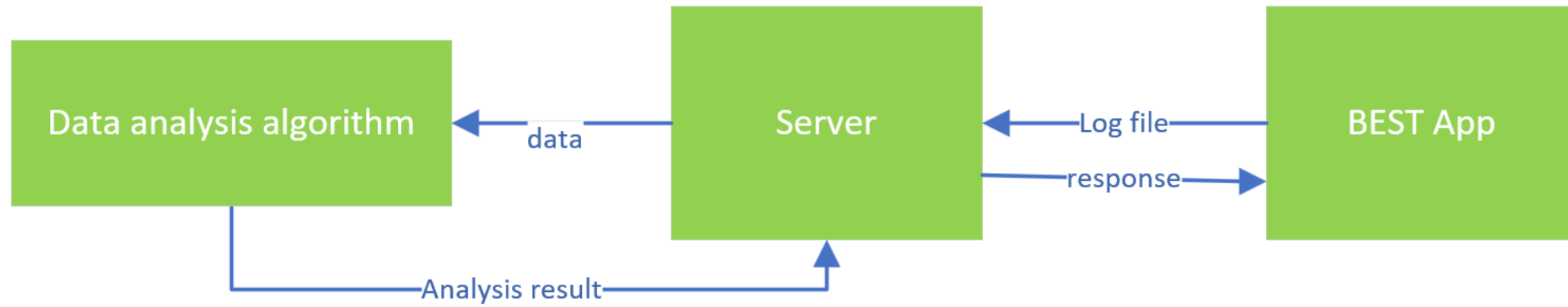
LDA-SVM, a reliable model for fault detection with a 0% false positive

SVM, the most accurate model for fault diagnosis

LDA quick at prediction

- Careful selection of input data

# Smart solution for performance monitoring



**THANK YOU  
FOR YOUR  
ATTENTION**

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